DOCKET NO.: CARP 0057 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Anticipated Classification of

this application:

ADAIR ET AL.

Class: 424, Subclass: 133.1

For: HUMANIZED ANTIBODIES

Prior Application Examiner: D. ADAMS Art Unit: 1816

"Express Mail" Label No. EM405876152US
Date of Deposit May 1, 1997

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Typed Name: Bob Inforzato

.BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington DC 20231

37 C.F.R. §1.60 TRANSMITTAL LETTER

Sir:

This is a request for filing a

(XX) Continuation () Divisional

application under 37 CFR 1.60, of pending prior application Serial No.08/303,569, filed on September 7, 1994, which is a continuation of Serial No. 07/743,329, filed September 17, 1991.

 (XX) Enclosed is a copy of prior application Serial No. 07/743,329, including the oath or declaration as originally filed.

I hereby verify that the attached papers comprise a true copy of the prior application Serial No. 07/743,329, as originally filed on September 17, 1991, and that no amendments referred to in the Oath or Declaration filed to complete the prior application introduced new matter therein.

The filing fee is calculated below on the basis of claims as filed in the prior application, less any claims cancelled or including any claims added by amendment listed below:

			7				
			SMALL ENTITY			OTHER THAN SMALL ENTITY	
For:	No. Filed	No. Extra	Rate	Fee	OR	Rate	
BASIC FEE				\$385		Rate	Fee
Total Claims	8 - 20 =		x \$11=	\$	OR OR	x \$22=	\$770 \$
Indep. Claims	2 - 3 =		x \$40=	\$	OR	x \$80=	\$
First Presentation Multiple Dependent Claims			+\$130=	\$	OR	+\$260=	\$
TOTAL				\$			২ \$770

- Verified Statement Claiming Small Entity Status is enclosed herewith.
- 3. () Verified Statement Claiming Small Entity Status was filed in the parent case.
- 4. () Please charge my Deposit Account No. 23-3050 in the amount of \$_____. This sheet is attached in triplicate.
- 5. (XX) A check in the amount of \$770.00 is attached. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.
- 6. (XX) The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in triplicate.
 - (XX) Any additional filing fees required under 37 CFR 1.16 including fees for presentation of extra claims.
 - (XX) Any additional patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).

- 7. (XX) The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in triplicate.
 - (XX) Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
 - () The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
 - (XX) Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.
- 8. (XX) Cancel in this application original claims

 2-23 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- (XX) Amend the specification as set forth in the accompanying preliminary amendment.
- 10. (XX) Formal drawings/photographs will be submitted when requested by the United States Patent and Trademark Office.
- 11. () Please abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file. (May only be used if signed by person authorized by 37 CFR 1.138 and before payment of the base issue fee.)
- 12. (XX) Priority of GB application Serial No. 89/28874.0 filed on December 21, 1989 in United Kingdom (country) is claimed under 35 U.S.C. Section 119.
 - (XX) The certified copy has been filed in prior PCT application Serial No. <u>PCT/GB90/02017</u>, filed <u>December 21, 1990</u>.
- 13. (XX) The prior application is assigned of record to <u>Celltech</u> <u>Limited</u>.
- 14. () Copy of the Assignment(s) of the invention and separate Form(s) 1595 for each Assignment will be submitted upon receipt of the Official Filing Receipt.
- 15. (XX) The power of attorney in the prior application is to <u>Francis A. Paintin</u>, Registration No. <u>19,386</u>.

- (XX) The power appears in the original papers in the prior application.
- (XX) Since the associate power of attorney does not appear in the original papers, a copy of the associate power in the prior application is enclosed.
- 16. (XX) Address all future communications to:

Francis A. Paintin WOODCOCK WASHBURN KURTZ MACKIEWICZ & NORRIS'LLP One Liberty Place - 46th Floor Philadelphia, PA 19103

- 17. (XX) A preliminary amendment is enclosed. Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.
- 18. () A Petition for Extension of Time has been filed in the parent application, Serial No. _____ filed ____. A copy of the Petition for Extension of Time is enclosed.
- 19. (XX) Enclosed is a Statement to Support Filing and Submission of DNA/Amino Acid Sequences in Accordance with 37 CFR §§ 1.821 through 1.825 as filed in Serial No. 08/303,569.
 - (XX) Enclosed is a copy of a Letter of Reference to Computer Readable Form filed in Serial No. 08/303,569.

Date: May 1, 1997

Signature

Francis A. Paintin Attorney of Record

Registration No. 19,386

WOODCOCK WASHBURN KURTZ
MACKIEWICZ & NORRIS LLP
One Liberty Place - 46th Floor
Philadelphia, PA 19103
(215) 568-3100

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DOCKET NO.: CARP-0057

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Adair et al

Serial No.: Unassigned

Group Art Unit: Unassigned

Filed: Herewith

Examiner: Unassigned

For:

HUMANIZED ANTIBODIES

I, Francis A. Paintin, Registration No. 19386 certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

on May 1, 1997 Francis A. Paintin

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

PRELIMINARY AMENDMENT AND REQUEST FOR INTERFERENCE UNDER 37 CFR §1.607

Please amend the above-identified application as

follows:

In the Specification:

At page 1, before line 1, insert the following:

--This application is a continuation of U.S. application Serial No.08/303,569, filed September 7, 1994, which is a continuation of Serial No. 07/743,329, filed September 17, 1991, which is a U.S. national phase application stemming from PCT/GB90/02017, filed December 21, 1990, which PCT application claims priority benefit of GB national application Serial No. 89/28874.0, filed

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